

Real-time, intraoperative detection of residual breast cancer in lumpectomy cavity margins using the LUM Imaging System: Results of a feasibility study

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BACKGROUND

- Tumor-free margins are critical for local control in breast conserving surgery
- 20-40% of lumpectomy patients have positive margins that require surgical re-excision
- Tools are needed to identify residual cancer in the tumor cavity intraoperatively
- We assessed LUM015 (protease-activated dye) and the LUM2.6 Imaging System for intraoperative detection of residual tumor in the tumor cavity of breast cancer patients

METHODS

- Breast cancer lumpectomy patients were injected with 1.0 mg/kg LUM015 4±2 hours prior to surgery
- Standard lumpectomy was performed
- All lumpectomy cavity surfaces were imaged with the handheld probe
- Areas of high-fluorescence were detected, analyzed and excised. Lumicell images and standard histopathology were compared (Figure 3)
- 2.6 cm diameter image acquisition, analysis and display required only ~1 second



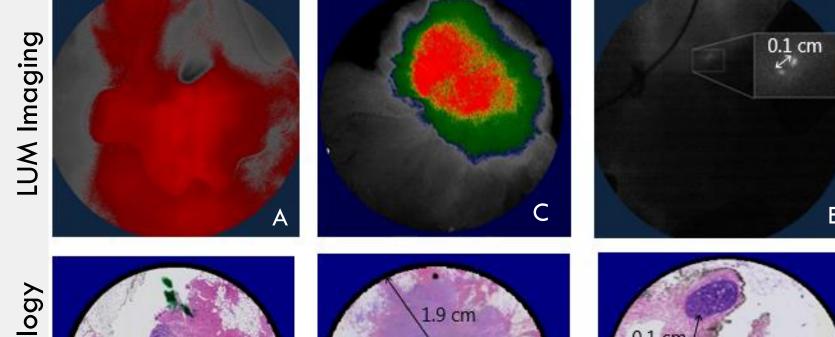
Figure 1: LUM Imaging System in use

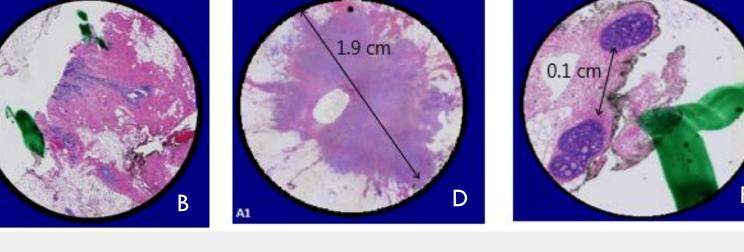


Figure 2: LUM optical head with sterile barrier

Patient Demographics (n=45) 60 (44-79) Median age (years) Invasive carcinoma Ductal Carcinoma in situ (DCIS) only 21% Mean tumor size (cm) 1.2 (0.06-3.5)

Figure 3: LUM Imaging compared to Standard Histopathology





A-B: Residual fluorescence in the lumpectomy cavity (in vivo) correlated with residual IDC in the corresponding cavity shaved margin

C-D: High fluorescence in a 1.9 cm region from an ex vivo lumpectomy transection correlated with tumor configuration on pathology

E-F: Two sub-millimeter spots separated by 0.1 cm identified by the LUM Imaging System corresponded to 2 foci of DCIS

| Positive margin histopathology reading | LUM Imaging result of cavity beyond margin | Action taken | Tumor found in resected tissue | Result |
|--|--|------------------|--------------------------------|--------|
| DCIS <2mm from ink | + | LUM guided shave | + | Α |
| DCIS < 2mm from ink | + | LUM guided shave | _ | A |
| DCIS < 2mm from ink | + | Re-excision | + | В |
| IDC on ink | + | Re-excision | + (mastectomy) | В |
| ILC on ink | + | Re-excision | + (mastectomy) | В |
| DCIS < 2mm from ink | + | Re-excision | _ | В |
| IDC on ink | _ | Re-excision | _ | C |
| DCIS < 2mm from ink | _ | Re-excision | - | C |

RESULT - A: Re-excision prevented — B: Surgeon declined to take additional Lumicell guided margin — C: No tumor found in second surgery, LUM Imaging System predicted negative margin

RESULTS

- Invasive ductal, invasive lobular, and ductal carcinoma in situ lesions were visualized
- Tumors were visualized in pre- and post-menopausal women
- 569 cavity margin surface images were evaluated
 - 100% sensitivity
 - 73% specificity
- Signal was observed in some benign tissue ($\sim 15\%$) including:
 - Macrophages associated with healing biopsy sites
 - Fibrocystic changes with usual ductal hyperplasia and cysts
- 8 of 45 patients had positive margins by standard histopathology, corresponding LUM intraoperative readings are shown in Table 2
- l adverse event: extravasation of LUM015 during IV injection
- Blue staining of the forearm that resolved in ~ 3 months

CONCLUSIONS

- No positive margins containing invasive cancer or DCIS were missed by the LUM Imaging System
- Taking Lumicell guided margins prevented re-excision surgeries
- A multi-center Phase III/Pivotal clinical trial of this approach is funded and will start shortly

ACKNOWLEDGEMENTS

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